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 PTO/SB/05 (12/97)
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. W-3875 Total Pages 130

First Named Inventor or Application Identifier

DONALD D. HOLBROOK

Express Mail Label No.

EM440646782US

 APPLICATION ELEMENTS
 See MPEP chapter 600 concerning utility patent application contents.

 ADDRESS TO: Assistant Commissioner for Patents
 Box Patent Application
 Washington, DC 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages 19]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) [Total Sheets 1]
4. Oath or Declaration [Total Pages 5]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☒ Small Entity ☐ Statement filed in prior application,
Statement(s) ☐ Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: _____

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Labelor ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

NAME

Rodney K. Worrel

By:

WORREL & WORREL

Date: February 23, 2000

ADDRESS

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2109 W. Bullard Avenue, Suite 121

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ZIP CODE

93711-1258

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FAX

559.431.4387

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL

Complete if Known

TOTAL AMOUNT OF PAYMENT (\$ 385.00

Application Number
Filing Date
First Named Inventor **DONALD D. HOLBROOK**
Group Art Unit
Examiner Name
Attorney Docket Number **W-3875**

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number
Deposit Account Name

23-3185

WORREL & WORREL

- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)

2. ☒ Payment Enclosed:
☒ Check ☐ Money Order ☐ Other

FEE CALCULATION (fees effective 10/01/96)

1. FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 770	201 385	Utility filing fee	345.00
106 320	206 160	Design filing fee	
107 530	207 265	Plant filing fee	
108 770	208 385	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$345.00

2. CLAIMS

Total Claims	Extra	Fee from below	Fee Paid
15 - 20 = 0	X 9 = 0		
Independent Claims 1 - 3 = 0	X 39 = 0		
Multiple Dependent Claims 0	X 130 = 0		

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
103 22	203 11	Claims in excess of 20	
102 80	202 40	Independent claims in excess of 3	
104 260	204 130	Multiple dependent claim	
109 80	209 40	Reissue independent claims over original patent	
110 22	210 11	Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$ 0

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet.	
139 130	139 130	Non-English specification	
147 2,460	147 2,460	For filing a request for reexamination	
112 900	112 900	Requesting publication of SIR prior to Examiner action	
113 1,790	113 1,790	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for response within first month	
116 390	216 185	Extension for response within second month	
117 930	217 465	Extension for response within third month	
118 1,470	218 735	Extension for response within fourth month	
119 300	219 150	Notice of Appeal	
120 300	220 150	Filing a brief in support of an appeal	
121 260	221 130	Request for oral hearing	
138 1,470	138 1,470	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive unavoidably abandoned application	
141 1,290	241 645	Petition to revive unintentionally abandoned application	
142 1,290	242 645	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 650	244 325	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 230	126 230	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	40.00
146 770	246 385	Filing a submission after final rejection (37 CFR 1.129(a))	
149 770	249 385	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify) _____
Other fee (specify) _____

SUBTOTAL (3) (\$40.00

* Reduced by Basic Filing Fee Paid

SUBMITTED BY

Typed or Printed Name

Rodney K. Worrel

Express Mail Label No. **EM440646782US**

Complete (if applicable)

Reg. Number

27,475

Signature

Rodney K. Worrel

Date

2/23/00

Deposit Account User ID

23-3185

Attorney's Docket No. W-3875**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: DONALD D. HOLBROOK

Serial No.:

Group No.:

Filed:

Examiner:

For: METHOD FOR TREATING
A WORK MATERIALCommissioner of Patents and Trademarks
Washington, D.C. 20231**EXPRESS MAIL CERTIFICATE**"Express Mail" label number EM440646782USDate of Deposit February 23, 2000I hereby certify that the following *attached* paper or fee

Utility Application Transmittal Form; Fee Transmittal Form; \$345.00 filing fee; Recordation For Recording Assignment; \$40.00 recording fee; Assignment; Drawing; Utility Patent Application (19 pages) with (15) claims; Combined Declaration; Submission Of Verified Statements; Verified Statement Claiming Small Entity Status--Independent Inventor; Verified Statement Claiming Small Entity Status--Small Business Concern; Form Information Disclosure Statement; and return card.

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Rodney K. Worrel

(typed or printed name of person mailing paper or fee)


(Signature of person mailing paper or fee)

NOTE: Each paper must have its own certificate and the "Express Mail" label number as a part thereof or attached thereto. When, as here, the certification is presented on a separate sheet, that sheet must (1) be signed and (2) fully identify and be securely attached to the paper or fee it accompanies. Identification should include the serial number and filing date of the application as well as the type of paper being filed, e.g. complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. If the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

NOTE: The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail." Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings it is suggested that the label number be placed on the back of each formal drawing or the drawings be accompanied by a set of informal drawings on which the label number is placed.

(Express Mail Certificate [8-3])

Attorney's Docket No. W-3875**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**☒ In re application of: **DONALD D. HOLBROOK**

Group No.

Serial No.: 0 /

Examiner:

Filed:

For: **METHOD FOR TREATING** Issued :
A WORK MATERIAL☐ Patent No.:

**NOTE: Insert name(s) of inventor(s) and title also for patent. Where submission is with respect to a maintenance fee payment also insert application serial number and filing date and mark Form Box M. Fee.*

Commissioner of Patents and Trademarks
Washington, D.C. 20231**SUBMISSION OF VERIFIED STATEMENT(S) TO ESTABLISH
SMALL ENTITY STATUS**

The attached statement is being submitted to establish small entity status in this

☒ application☐ patent

by the:

(check all applicable boxes below)

- a. ☒ independent inventor(s) 37 CFR 1.9(c) and 1.27(b)
b. ☐ non-inventor supporting claim by another 37 CFR 1.9(c) and 1.27(b)

CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8a)

I hereby certify that this correspondence is, on the date shown below, being:

MAILING☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231Date: 2/23/00**Express Mail**Label No. EM440646782US**FACSIMILE**☐ transmitted by facsimile to the Patent and Trademark Office

Signature

Rodney K. Worrel

(type or print name of person certifying)

(Submission of Verified Statement(s) to Establish Small Entity Status[7-11])

(Submission of Verified Statement(s) to Establish Small Entity Status[7-11])

- c. ☒ small business concern
d. ☐ non-profit organization

37 CFR 1.9(d) and 1.27(c)

37 CFR 1.9(e) and 1.27(d)


SIGNATURE OF ATTORNEY

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